



Substitute Form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 10

Complete If Known

Application Number	10/708,121
Filing Date	February 10, 2004
First Named Inventor	Albert
Art Unit	2873
Examiner Name	Thomas, Brandi N.
Attorney Docket No.	H-365

U.S. Patent Documents

Examiner Initials*	Cite No. *1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Locations Where Relevant Passages or Figures Appear
BUT	A1	D485294	01/13/2004	Albert	
	A2	20050099672	05/12/2005	Jacobson et al.	
	A3	20050035941	02/17/2005	Albert et al.	
	A4	20050000813	01/06/2005	Pullen et al.	
	A5	20040257635	12/23/2004	Paolini, Jr. et al.	
	A6	20040233509	11/25/2004	Zhang et al.	
	A7	20040196215	10/07/2004	Duthaler et al.	
	A8	20040180476	09/16/2004	Kazlas et al.	
	A9	20040155857	08/12/2004	Duthaler et al.	
	A10	20040136048	07/15/2004	Arango et al.	
	A11	20040120024	06/24/2004	Chen et al.	
	A12	20040119681	06/24/2004	Albert et al.	
	A13	20040112750	06/17/2004	Jacobson et al.	
	A14	20040112525	06/17/2004	Pereira et al.	
	A15	20040105036	06/03/2004	Danner et al.	
	A16	20040094422	05/20/2004	Pullen et al.	
	A17	20040075634	04/22/2004	Gates	
	A18	20040027327	02/12/2004	LeCain et al.	
	A19	20040014265	01/22/2004	Kazlas et al.	
	A20	20040012839	01/22/2004	Cao et al.	
	A21	20030222315	12/04/2003	Amundson et al.	
	A22	20030214695	11/20/2003	Abramson et al.	
	A23	20030179437	09/25/2003	Liang et al.	
	A24	20030151702	08/14/2003	Morrison et al.	
	A25	20030137521	07/24/2003	Zehner et al.	
	A26	20030132908	07/17/2003	Herb et al.	
	A27	20030102858	06/05/2003	Jacobson et al.	
	A28	20030020844	01/30/2003	Albert et al.	
	A29	20030011560	01/16/2003	Albert et al.	
BUT	A30	20020180687	12/05/2002	Webber	

Examiner Signature		Date Considered	6/9/05
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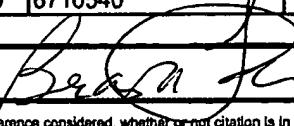
Substitute for form 1449/PTO				Complete if Known	
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BNT	A31	20020130832	09/19/2002	Baucom et al.	
	A32	20020113770	08/22/2002	Jacobson et al.	
	A33	20020090980	07/11/2002	Wilcox et al.	
	A34	20020063661	05/30/2002	Comiskey et al.	
	A35	20020060321	05/23/2002	Kazlas et al.	
	A36	20020027635	03/07/2002	Sakamaki et al.	
	A37	20010030639	10/18/2001	Goden	
	A38	6870661	03/22/2005	Pullen et al.	
	A39	6866760	03/15/2005	Paolini, Jr. et al.	
	A40	6865010	03/08/2005	Duthaler et al.	
	A41	6864875	03/08/2005	Drzaic et al.	
	A42	6842657	01/11/2005	Drzaic et al.	
	A43	6842279	01/11/2005	Amundson	
	A44	6842167	01/11/2005	Albert et al.	
	A45	6839158	01/04/2005	Albert et al.	
	A46	6831769	12/14/2004	Holman et al.	
	A47	6825970	11/30/2004	Goenaga et al.	
	A48	6825829	11/30/2004	Albert et al.	
	A49	6825068	11/30/2004	Denis et al.	
	A50	6822782	11/23/2004	Honeyman et al.	
	A51	6819471	11/16/2004	Amundson et al.	
	A52	6816147	11/09/2004	Albert	
	A53	6788449	09/07/2004	Liang et al.	
	A54	6753999	06/22/2004	Zehner et al.	
	A55	6750473	06/15/2004	Amundson et al.	
	A56	6738050	05/18/2004	Comiskey et al.	
	A57	6727881	04/27/2004	Albert et al.	
	A58	6724519	04/20/2004	Comiskey et al.	
	A59	6721083	04/13/2004	Jacobson et al.	
BNT	A60	6710540	03/23/2004	Albert et al.	

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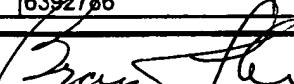
Sheet: 3 of 10

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BNG	A61	6704133	03/09/2004	Gates et al.	
	A62	6693620	02/17/2004	Herb et al.	
	A63	6683333	01/27/2004	Kazlas et al.	
	A64	6680725	01/20/2004	Jacobson	
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	A66	6664944	12/16/2003	Albert et al.	
	A67	6657772	12/02/2003	Loxley	
	A68	6652075	11/25/2003	Jacobson	
	A69	6639578	10/28/2003	Comiskey et al.	
	A70	6580545	06/17/2003	Morrison et al.	
	A71	6545291	04/08/2003	Amundson et al.	
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	A75	6521489	02/18/2003	Duthaler et al.	
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	A77	6515649	02/04/2003	Albert et al.	
	A78	6512354	01/28/2003	Jacobson et al.	
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	A81	6498114	12/24/2002	Amundson et al.	
	A82	6480182	11/12/2002	Turner et al.	
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	A84	6459418	10/01/2002	Comiskey et al.	
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	A86	6445374	09/03/2002	Albert et al.	
	A87	6428650	08/06/2002	Chung	
	A88	6422687	07/23/2002	Jacobson	
	A89	6413790	07/02/2002	Duthaler et al.	
BNG	A90	6392786	05/21/2002	Albert	

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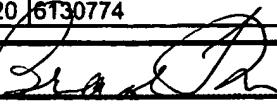
Sheet: 4 of 10

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BNT	A91	6392785	05/21/2002	Albert et al.	
	A92	6377387	04/23/2002	Duthaler et al.	
	A93	6376828	04/23/2002	Comiskey	
	A94	6365949	04/02/2002	Ruiter et al.	
	A95	6344155	02/05/2002	Kitahara et al.	
	A96	6327072	12/04/2001	Comiskey et al.	
	A97	6323989	11/27/2001	Jacobson et al.	
	A98	6312971	11/06/2001	Amundson et al.	
	A99	6312304	11/06/2001	Duthaler et al.	
	A100	6301038	10/09/2001	Fitzmaurice et al.	
	A101	6300932	10/09/2001	Albert	
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	A103	6262833	07/17/2001	Loxley et al.	
	A104	6262706	07/17/2001	Albert et al.	
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	A106	6249271	06/19/2001	Albert et al.	
	A107	6241921	06/05/2001	Jacobson et al.	
	A108	6232950	05/15/2001	Albert et al.	
	A109	6225971	05/01/2001	Gordon , II et al.	
	A110	6215920	04/10/2001	Whitehead et al.	
	A111	6184856	02/06/2001	Gordon , II et al.	
	A112	6184331	02/06/2001	Chiang et al.	
	A113	6177921	01/23/2001	Comiskey et al.	
	A114	6172798	01/09/2001	Albert et al.	
	A115	6147791	11/14/2000	Sheridan	
	A116	6144361	11/07/2000	Gordon , II et al.	
	A117	6140405	10/31/2000	Eckstein et al.	
	A118	6137487	10/24/2000	Sheridan et al.	
	A119	6136128	10/24/2000	Chung	
BNT	A120	6130774	10/10/2000	Albert et al.	

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First Named Inventor	Albert
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BNT	A121	6130773	10/10/2000	Jacobson et al.	
	A122	6128124	10/03/2000	Silverman	
	A123	6124851	09/26/2000	Jacobson	
	A124	6120839	09/19/2000	Comiskey et al.	
	A125	6120588	09/19/2000	Jacobson	
	A126	6118426	09/12/2000	Albert et al.	
	A127	6113810	09/05/2000	Hou et al.	
	A128	6097531	08/01/2000	Sheridon	
	A129	6067185	05/23/2000	Albert et al.	
	A130	6055091	04/25/2000	Sheridon et al.	
	A131	6054071	04/25/2000	Mikkelsen, Jr.	
	A132	6017584	01/25/2000	Albert et al.	
	A133	5972493	10/26/99	Iwasaki et al.	
	A134	5961804	10/05/1999	Jacobson et al.	
	A135	5930026	07/27/1999	Jacobson et al.	
	A136	5891366	04/06/1999	Gruenwald et al.	
	A137	5872552	02/16/1999	Gordon , II et al.	
	A138	5808783	09/15/1998	Crowley	
	A139	5784190	07/21/1998	Worley	
	A140	5777782	07/07/1998	Sheridon	
	A141	5760761	06/02/1998	Sheridon	
	A142	5745094	04/28/1998	Gordon , II et al.	
	A143	5737115	04/07/1998	Mackinlay et al.	
	A144	5707738	01/13/1998	Hou	
	A145	5699097	12/16/97	Takayama et al.	
	A146	5688584	11/18/97	Casson et al.	
	A147	5686383	11/11/97	Long et al.	
	A148	5635317	06/03/1997	Taniguchi et al.	
	A149	5627561	05/06/1997	Laspina et al.	
BNT	A150	5460688	10/24/95	DiSanto et al.	

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Sheet	6	of	10
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BUT	B1	EP 1 145 072B1	05/07/2003	E Ink Corporation		
	B2	EP 0 659 866A2	06/28/1995	Matsushita		
	B3	JP 2002-072258	03/12/2002	Canon		
	B4	JP 2000-259102	09/22/2000	Canon		
	B5	JP 06-118452A	4/28/94	NOK		
	B6	JP 05-307197A	11/19/93	Nippon Mektron		
	B7	JP 05-143009A	6/11/93	NOK		
	B8	JP 02-284125A	11/21/90	Nippon Mektron		
	B9	JP 02-284124A	11/21/90	Nippon Mektron		
	B10	JP 02-223936A	9/8/90	Nippon Mektron		
	B11	PCT WO 03/107315	12/24/2003	E Ink Corporation		
	B12	PCT WO 01/27690	04/19/2001	University College Dublin		
	B13	PCT WO 01/07961	02/01/2001	E Ink Corporation		
	B14	PCT WO 00/67327	11/09/2000	E Ink Corporation		
	B15	PCT WO 00/67110	11/09/2000	E Ink Corporation		
	B16	PCT WO 00/38000	06/29/2000	E Ink Corporation		
	B17	PCT WO 00/36560	06/22/2000	E Ink Corporation		
BUT	B18	PCT WO 00/05704	02/03/2000	E Ink Corporation		

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. *1	Document Citation	T ²
BNT	C1	Amundson, K., et al., "Flexible, Active-Matrix Display Constructed Using a Microencapsulated Electrophoretic Material and an Organic-Semiconductor-Based Backplane", SID 01 Digest, 160 (June 2001)	
	C2	Bach, U., et al., "Nanomaterials-Based Electrochromics for Paper-Quality Displays", Adv. Mater, 14(11), 845 (2002)	
	C3	Chen, Y., et al., "A Conformable Electronic Ink Display using a Foil-Based a-Si TFT Array", SID 01 Digest, 157 (June 2001)	
	C4	Comiskey, B., et al., "An electrophoretic ink for all-printed reflective electronic displays", Nature, 394, 253 (1998)	
	C5	Comiskey, B., et al., "Electrophoretic Ink: A Printable Display Material", SID 97 Digest (1997), page 75	
	C6	Danner, G.M. et al., "Reliability Performance for Microencapsulated Electrophoretic Displays with Simulated Active Matrix Drive", SID 03 Digest, 573 (2003)	
	C7	Drzaic, P., et al., "A Printed and Rollable Bistable Electronic Display", SID 98 Digest (1998), page 1131	
	C8	Duthaler, G., et al., "Active-Matrix Color Displays Using Electrophoretic Ink and Color Filters", SID 02 Digest, 1374 (2002)	
	C9	Gutcho, M.H., "Microcapsules and Microencapsulation Techniques, Noyes Data Corp., Park Ridge NJ, (1976)	
BNT	C10	Henzen, A. et al., "Development of Active Matrix Electronic Ink Displays for Handheld Devices", SID 03 Digest, 176, (2003)	

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. *1	Document Citation	T ²
BNT	C11	Hou, J., et al., "Active Matrix Electrophoretic Displays Containing Black and White Particles with Opposite Polarities", SID 01 Digest, 164 (June 2001)	
	C12	Jacobson, J., et al., "The last book", IBM Systems J., 36, 457 (1997)	
	C13	Jo, G-R, et al., "Toner Display Based on Particle Movements", Chem. Mater., 14, 664 (2002)	
	C14	Kazlas, P., et al., "12.1" SVGA Microencapsulated Electrophoretic Active Matrix Display for Information Appliances", SID 01 Digest, 152 (June 2001)	
	C15	Kitamura, T., et al., "Electrical toner movement for electronic paper-like display", Asia Display/IDW '01, page 1517, Paper HCS1-1 (2001)	
	C16	O'Regan, B. et al., "A Low Cost, High-efficiency Solar Cell Based on Dye-sensitized colloidal TiO ₂ Films", Nature, Volume 353, October 24, 1991, 773-740	
	C17	Ota, I., et al., "Developments in Electrophoretic Displays", Proceedings of the SID, 18, 243 (1977)	
	C18	Pitt, M.G., et al., "Power Consumption of Microencapsulated Electrophoretic Displays for Smart Handheld Applications", SID 02 Digest, 1378 (2002)	
	C19	Vandegaer, J.E. (ed.), "Microencapsulation Processes and Applications", pp. v-x, 1-180 (Plenum Press, New York 1974)	
BNT	C20	Webber, R., "Image Stability in Active-Matrix Microencapsulated Electrophoretic Displays", SID 02 Digest, 126 (2002)	

Examiner Signature	<i>Brandi N.</i>	Date Considered	6/10/05
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*1Applicant's unique citation designation number (optional). *2Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Using as many sheets as necessary)

Sheet: 10 of: 10

Complete If Known

Application Number	10/708,121
Filing Date	February 10, 2004
First Named Inventor	Albert
Art Unit	2873
Examiner Name	Thomas, Brandi N.
Attorney Docket No.	H-365

NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. *1	Document Citation	T ²
BNT	C21	Wood, D., "An Electrochromic Renaissance?" Information Display, 18(3), 24 (March 2002)	
BNT	C22	Yamaguchi, Y., et al., "Toner display using insulative particles charged triboelectrically", Asia Display/IDW '01, page 1729, Paper AMD4-4 (2001)	
BNT	C23	Zehner, R. et al., "Drive Waveforms for Active Matrix Electrophoretic Displays", SID 03 Digest, 842 (2003)	

Examiner Signature

Date Considered

6/10/05

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